

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



NOTICE OF APPEAL FROM THE EXAMINER  
TO THE BOARD OF APPEALS  
AND APPELLANT'S APPEAL BRIEF

RECEIVED  
DEC 17 2003  
TC 1700

Applicant: Woods et al.  
Title: Process for Hydroxyalkylating Carboxylic Acid-Functionalized  
Materials  
Serial No: 09/341,287  
Filed: August 19, 1999  
Atty. Docket No.: 1221.002USU/LC-302/PCT/US  
Examiner: D. R. Wilson  
Art Unit: 1713

Mail Stop: AF

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EV369609324US

TRANSMITTAL

Sir:

We are enclosing for filing in the above-identified application the following:

1. Notice of Appeal (in triplicate);
2. Appellant's Appeal Brief (in triplicate);
3. Request for Extension of time;
4. Transmittal letter (in duplicate); and
5. Return Postcard.

Notice of Appeal and Appeal Brief Fees in the amount of 2X\$320.00= \$640 have already been paid by Appellant for the Notice of Appeal and the Appeal Brief filed on May 20, 2002 and September 30, 2002, respectively, in the above identified application.

Applicant believes that no additional fees are due for this Appeal.

The Commissioner is hereby authorized to charge \$ \_\_\_\_\_ and **any additional fees which may be required** in the present communications or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467** in the name of Ohlandt, Greeley, Ruggiero & Perle.

A duplicate copy of this Transmittal Letter is attached.

Respectfully submitted,

Date: December 11, 2003

By:

V. Alexanian

Vazken A. Alexanian  
Agent for Applicant(s)  
Registration No. 37,270  
Ohlandt, Greeley, Ruggiero  
& Perle, L.L.P.  
One Landmark Square, 10<sup>th</sup> Floor  
Stamford, CT 06901  
TEL: (203) 327-4500 Ext. 123  
FAX: (203) 327-6401

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV 369609324** **US**, addressed to: **Mail Stop: AF**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **December 11, 2003**.

Vazken A. Alexanian  
Name

V. Alexanian  
Signature

December 11, 2003  
Date



83

12-12-03

AF/1700  
AF**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**TRANSMITTAL FOR RESPONSE TO ADVISORY ACTION****X** Transmitted herewith is:

- (1) Response to Advisory Action in the above-identified application; and
- (2) Request for extension of time (in duplicate).

**X** Petition for a 1 month extension of time pursuant to 37 C.F.R. §§ 1.136 and 1.137 is hereby made if, and to the extent, required. The fee for this extension of time is calculated to be \$ 110.00 to extend the time for filing this response until **December 12, 2003**.

The fee for any change in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	
Total Claims	22	Minus	23	0	0 X \$18.00	\$0.00
Independent Claims	3	Minus	3	0	0 X \$84.00	0.00
MULTIPLE DEPENDENT CLAIM FEE				x \$0.00 = \$		
TOTAL FEE FOR CLAIM CHANGES				\$0.00		

The total fee for this amendment, including the one month extension of time, is calculated to be \$ 110.00.

X The Commissioner is hereby authorized to charge \$ 110.00 and any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to:

**Vazken A. Alexanian**  
**Ohlandt , Greeley, Ruggiero & Perle, L.L.P.**  
**One Landmark Square, 10th Floor**  
**Stamford, Connecticut 06901-2682**  
**U.S.A.**  
**Telephone: (203) 327- 4500**  
**Telefax: (203) 327- 6401**

Respectfully submitted,

Date: December 11, 2003

By:

V. Alexanian

Vazken A. Alexanian  
Agent for Applicant(s)  
Registration No. 37,270  
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Vazken A. Alexanian  
Name

V. Alexanian  
Signature

December 11, 2003  
Date